

ADECA Alabama Inland Port Infrastructure Program Grant Application

Industrial Development Authority of Sumter County

Applicant Information

Project Name: Port of Epes Infrastructure Project

Legal Name of Entity: Industrial Development Authority of Sumter County

Mailing Address: P.O. Box 1059, Livingston, AL 35470

Name and Title of CEO: Judge Eddie Hardaway, Chairman

Name and Title of Contact: Allison Brantley, Director of Economic Development

Phone Number and Email of Contact: 205-391-8769 and abrantley@uwa.edu

Project Description

Please complete the following section. Any attachments shall be titled Attachment A, Project Description.

1. A detailed discussion of the project including specific project activities to be completed, location, and quantifiable benefits to be accomplished.

Sumter County and the Black Belt region's geographic location affords it many assets. For decades, the region was an epicenter of agricultural production. Alabama's agriculture and forestry-related industry sector extends into the State's and the Black Belt region's largest industries – manufacturing, retail services, accommodation and food services, and transportation – and, as a result, are Alabama's first and second largest industries. In Alabama's most recent economic development strategic plan, Accelerate Alabama 2.0, forestry products and agriculture are among the seven target sectors for growth; therefore, making Port of Epes/Sumter County a prime location for these sectors. According to the *Southern Pine Resource Analysis*, provided by the Forest Products Development Center, there are 5.6 million acres of timberland within a 60-mile radius of the Port of Epes Industrial Park project location, with 95.7% owned by the private sector. The remaining 4.3% is owned by public or governmental agencies, including the Port of Epes site, which is owned by the Industrial Development Authority of Sumter County. There are 13.6 million tons of pine growth per year (within this radius) but only 8.6 million tons are removed per year. This wood basket is an asset to forestry-related industries. It is also important to note that the Project is located in one of the largest, contiguous opportunity zones (geographically) in the state, according to the "Tax Cuts and Jobs Act." This particular opportunity zone connects five Black Belt counties.

The Port of Epes site in Sumter County is strategically and conveniently located via water, rail and highway. The region is home to two rivers systems, the Black Warrior River and Tennessee-Tombigbee Waterway system which stretches 234 miles from the nation's mid-

section through the state to the Gulf of Mexico. Two million tons of commerce are exported each year on the Tennessee-Tombigbee Waterway with access to 17 ports and terminals. There are also five active railroads in the region; the Class I railroad Norfolk Southern extends through the middle of Sumter County. Sumter County, in addition to the Epes Exit 23, is located on Interstate 20/59, a key automotive transportation corridor in Alabama, making the Port of Epes site within a five-hour driving radius of larger metropolitan areas such as Birmingham, AL; Mobile, AL; Jackson, MS; Atlanta, GA; Memphis, TN; Nashville, TN; and New Orleans, LA.

The purpose of the Port of Epes Infrastructure Project is to enhance the existing infrastructure in the Port of Epes Industrial Park, create and cultivate jobs, support the forestry industry, and promote investment and economic development in Sumter County and the Black Belt region.

Enviva Pellets Epes, LLC (“Enviva”), the largest wood pellet producer in the United States, plans to invest approximately \$175 million in the Port of Epes Industrial Park for a new production facility and employ a minimum of 85 employees (estimated at more than \$3.5 million in new payroll). The company’s wood supply purchases are estimated at \$30 million per year and will support the local and state’s forestry industry. Enviva’s large-scale wood pellet mill will produce approximately 600,000 metric tons per year of wood pellets for export to Europe and Asia as a fuel source. At full production, the operation will process approximately 1.3 million tons per year of pulpwood, sawmill residues, and logging slash as raw material. All finished product will be transported by barge to the Gulf Coast Port of Pascagoula, Mississippi for storage and ship loading.

For full operation and production, Enviva will need 2,200 linear feet of Port frontage dredged for barging. Currently, the depth of the water at the mouth of the slip (where it meets the Tennessee – Tombigbee Waterway) is only 18 inches; however, there should be a minimum depth of 10 feet, according to TTL, Inc., an engineering firm. Approximately 8.5 feet or 98,000 cubic yards of silt, sand, fine sediment material needs to be removed to reach an approximate elevation of 63 feet above mean sea level which will allow for barge traffic for industrial transport within the slip. Dredge basins will be constructed on the property for the silt material/sediment that is removed; the dredged material will be transported via temporary 12-inch HDPE pipe to three bermed dredging disposal basins. (Dredging of the barge slip includes surveying, gathering samples and soundings, permitting fees, engineering, removal of sediment, and constructing dredge basins. The actual dredging of the slip will coincide with the construction of the plant which is expected to begin in Q1 2020.) Please see Attachment A, Project Description – TTL Port of Epes Dredging Plans.

The Port of Epes Industrial Park project will provide the following benefits:

- Create and retain forestry-related industry jobs
- Make improvements to industrial infrastructure

- Support local, regional, and state industrial development goals/plans. Please see copy of *Alabama-Tombigbee Regional Commission Comprehensive Economic Development Strategy 2017 – 2021*, <http://www.atrcregion6.com/wp-content/uploads/2018/02/ATRC-CEDS-2017.pdf> and *Accelerate Alabama 2.0*, <http://www.madeinalabama.com/assets/2017/01/Accelerate-Alabama-2.0.pdf>.
- Increase the City of Livingston, Town of Epes, and Sumter County's revenue that can be reinvested in other economic and community development projects
- Enhance the industrial park's appeal to future prospects

The total project's 85 direct full-time permanent jobs will support approximately 114 annual average jobs in the local economy. The earnings impact associated with these jobs total over \$6,185,083 per year and would result in a \$9,069,908 contribution to Sumter County's Gross Domestic Product. The additional jobs, GDP, and tax revenue will have a significant positive impact on Sumter County and the region's high poverty and un/underemployment statuses. In addition, the direct and indirect job creation will also reduce the number migrating out for work. The additional tax revenue will be reinvested to improve and upgrade other infrastructure (broadband, road improvement, utility improvement, etc.) for economic growth.

2. A discussion of how the project meets one or more of the program priority areas. This section shall also include a discussion of the review process completed prior to application submission. **Preliminary concurrence from applicable authorities and departments is a requirement of the application.** All applicants claiming to meet one or more of the program priority areas must provide verifiable documentation of one or more of the following:
 - The applicant proposes measurable and proven activities that will increase tonnage at port facilities.

The Tombigbee Valley Development Authority developed the Port of Epes Industrial Park and its barge slip and public dock in the 1980s for industry recruitment and for Mannington Mills. However, the barge slip and public dock have sat dormant since Mannington stopped using them in the mid-1990s. There is currently zero (0) tons of cargo being transported to and from the Port Facility. In the last four years, the Industrial Development Authority has partnered with The University of West Alabama to lead a more concerted effort in the revitalization and redevelopment of the Port of Epes Industrial Park. Since there has been a more focused marketing of the Industrial Park, updated and completed site due diligence for the Industrial Park, a concerted effort by the IDA (and UWA) to get the barge slip dredged and dredge basins constructed, and the IDA and UWA have seen an 80-90% increase in interest from forestry-related industries in the Port of Epes property including the barge slip and public dock. One of the goals of the increased investment in and expansion of the Port of Mobile is to increase commerce on the Tennessee Tombigbee Waterway System, including its 17 ports and terminals. With the commitment from Enviva alone, 600,000 metric tons of product will be transported out of the Port of Epes

Facility to for export. This would increase the tonnage on the Tombigbee Waterway System by an estimated 25% per year.

- o The applicant proposes to enhance regional competitiveness for port operators and users.

An infusion of capital for infrastructure within this designated opportunity zone for the Port of Epes Infrastructure Project should generate opportunities for job creation and job growth, boosting economic vitality, supporting infrastructure upgrades, and supporting business and industry in Sumter County and the Black Belt region. Since the Port of Epes Infrastructure Project sits in a contiguous federally-designated opportunity zone that stretches across five counties, this project has positive economic and capital investment ripple effect.

Effective use of resources, strong leadership, and sustained efforts are vital to the economic success of Sumter County and the Black Belt region. This project will not only address the needs and goals of Sumter County's strategic plan but also a need and goal of the region and state: improving infrastructure. The Port of Epes Infrastructure Project will make investments in the transportation infrastructure of waterways and support state and local economic development organizations in their efforts to put in place ready sites for business recruitment and expansion projects.

3. A discussion of the applicant's technical and managerial capabilities to complete the project within two years of the effective date of the grant award.

The Industrial Development Authority of Sumter County (IDA) was incorporated in the 1970s and has owned the Port of Epes Industrial Park property since the 1980s. The IDA has played a crucial role in securing the barge slip, Mannington Mills, and maintaining the property including the sewer system and wastewater lagoons. The IDA has also managed local and state funding in its operating budget since it was formed in the 1970s. As a vested co-operative partner, The University of West Alabama's Division of Economic and Workforce Development (DEWD) has administered the re-development of the Port of Epes Industrial Park for the IDA for approximately four years; this includes attending site visits, and completing RFIs, paperwork, and grant applications. This partnership has successfully obtained approximately \$2.5 million in infrastructure improvements to date. DEWD itself has secured more than \$4.2 million in the past three years which accounts for over one-third of UWA's grant funding. In the past three years, Division staff have been project directors or key personnel in more than 15 funded projects, and each of these projects focused on enhancing economic and workforce development in Sumter County and the Black Belt region. In the areas of Economic and Workforce Development, Division staff have over 50 years of experience in the field. In addition, the Division connects both internal academic partners and external partners of industrial technology, welding, robotics, rural education, professional development, and community development. The Division has the full support of and access to UWA's administration and their resources.

4. The applicant shall identify from the list below, how the applicant meets the eligibility requirement. Please include documentation that the applicant is eligible to apply for the funds.

- ☐ Port authorities
- ☐ Port commissions
- ☒ Subdivisions and agents under existing authority
- ☒ Political subdivision of a local government
- ☐ Tribal government
- ☒ Public agency
- ☐ Publicly chartered authority established by the state.

Project Budget

Please complete the following section. Any attachments shall be titled Attachment B, Project Budget. Please note that a 20% match is required for all projects; however, additional points can be earned throughout this section for all applicants who commit to provide additional matching funds.

1. Please complete the table below. The total grant amount cannot exceed the lesser of 80% of total project costs, or \$1,000,000.

Total Project Cost	\$2,065,900.00
(Up to) 20% of Total Project Cost (match)	\$1,465,900.00
(up to) 80% of Project Total (maximum grant)	\$600,000.00
Total Grant Amount Requested (not to exceed \$1,000,000)	\$600,000.00

2. Please provide a detailed project budget narrative to include itemized eligible project expenses. Generally, eligible expenses will be limited to infrastructure funds for inland port capital improvement initiatives that include but are not limited to funds used to facilitate and coordinate inland port development, improvement, maintenance, onsite storage, moorings, and construction.

Please see Attachment B, Project Budget – “Port of Epes Infrastructure Project Cost Estimate.”

Funding will not be used for routine maintenance, administrative and operational expenses, debt service, or for the dredging of waterways within the state that are not in the immediate vicinity of an inland port facility. Additionally, the use of funding to dredge an established inland port will provide the opportunity to create an inter-modal transportation hub.

3. Please identify the source and amount of matching funds. Appropriate resolutions and/or financial documents should be included. Any additional expenses associated with the project, but not part of the grant budget, should be included.

Source	Amount	Type
Sumter County IDA (Applicant)	\$1,425,900	Confirmed - Cash
USDA/DRA (State AG funds)	\$40,000	Confirmed – Grant
Total	\$1,465,900	

Please see the Attachment C – “IDA and USDA Matching Funds Letters.”

4. A discussion of any other funds associated with the project, but not included in the budget.

There are no other funds associated with the project; however, the Industrial Development Authority of Sumter County has submitted a U.S. Economic Development Administration (EDA) Public Works Grant Application to supplement this grant application and cover a portion of or all of the match. The Grants.gov ID # is 12963750 was received by the Atlanta Regional Office on October 31, 2019 and is currently under review.

5. Please complete the following table.

Budget Item	Funding Amount and Source		Total
	Grant	Match	
Engineering/Design			108,800.00
Permits			118,100.00
Construction			1,656,000.00
Materials			0.00
Labor			0.00
Other			183,000.00
Materials			0.00
Labor			0.00
Total			2,065,900.00

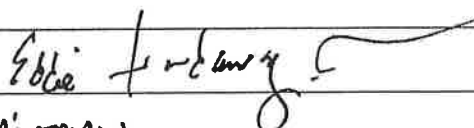
Certifications

1. The applicant certifies that it is a (choose all that apply):

- ☐ Port authority
- ☐ Port commission
- ☒ Subdivision and/or agent under existing authority
- ☒ Political subdivision of a local government
- ☐ Tribal government
- ☒ Public agency
- ☐ Publicly chartered authority established by the state

2. The applicant certifies that the funds will not be used for routine maintenance, administrative and operational expenses, debt service, or for the dredging of waterways within the state that are not in the immediate vicinity of an inland port facility.

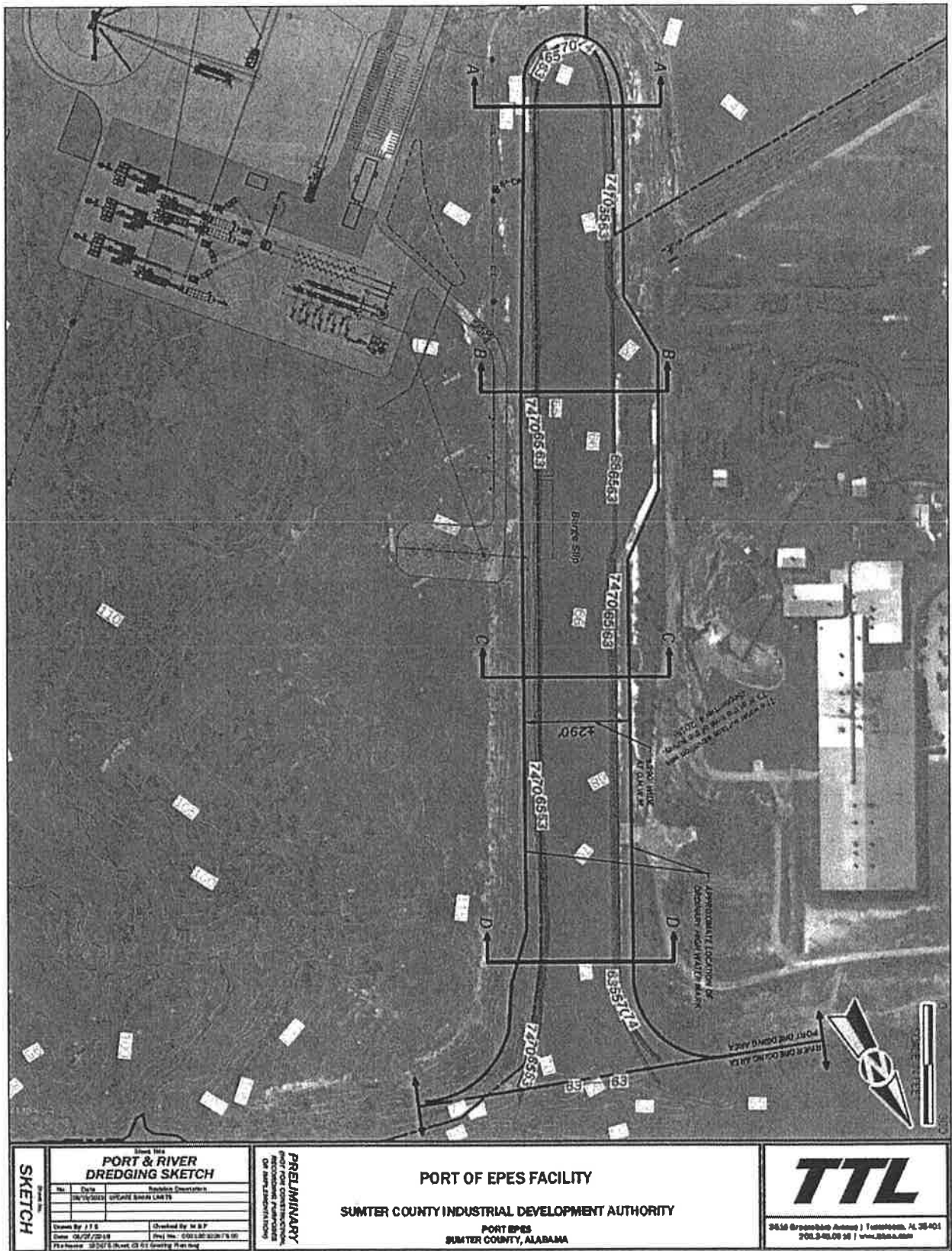
3. The applicant certifies receipt of a preliminary concurrence from the appropriate state agencies such as, Alabama Department of Transportation, Alabama Department of Environmental Management, Alabama Port Authority, or any other applicable authority or department.

Certification	
I, the undersigned, am authorized to obligate my entity and enter into agreements for my organization. I understand that the above certifications do not guarantee funding and a grant agreement will be executed prior to project funds being expended. I further understand that if the above statements cannot be verified, no grant funds will be awarded under this program. Finally, to the best of my knowledge the above certifications are true and correct.	
Signature of Applicant: 	Date: 11-20-2019
Title of Applicant: Chairman	

State of Alabama – Disclosure Statement

Alabama Act 2001-955 requires the *State of Alabama Disclosure Statement* (Attachment D) to be completed and filed with all proposals, bids, contracts, and grant proposals to the State of Alabama in excess of \$5,000. A fillable PDF is available on our website at <http://adeca.alabama.gov/inlandports>. Complete all lines as indicated. If an item does not apply, denote 'N/A' (not applicable). If you cannot include required information in the space provided, attach additional sheets as necessary. The form must be signed, dated, and notarized.





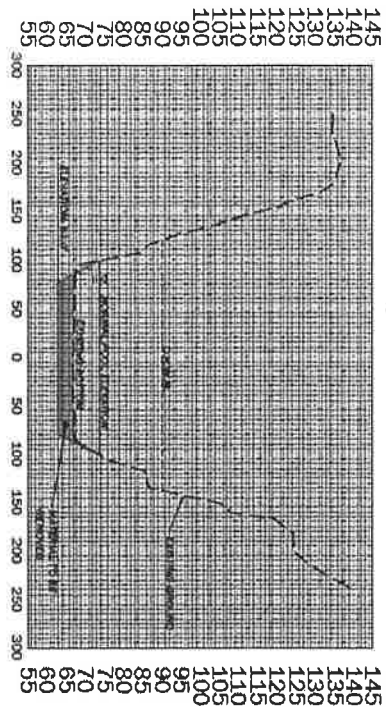
PORT & RIVER DREDGING SKETCH		
No.	Date	Revision Description
001	08/28/2019	CREATED BASE MAP
Drawn By: JTS		
Checked By: M.B.P.		
Date: 08/28/2019		
File Name: 001.DWG		
File Path: \\server\projects\001.DWG		

PRELIMINARY
 NOT FOR CONSTRUCTION
 NOT FOR PERMITS
 OR ANY LEGAL ACTION

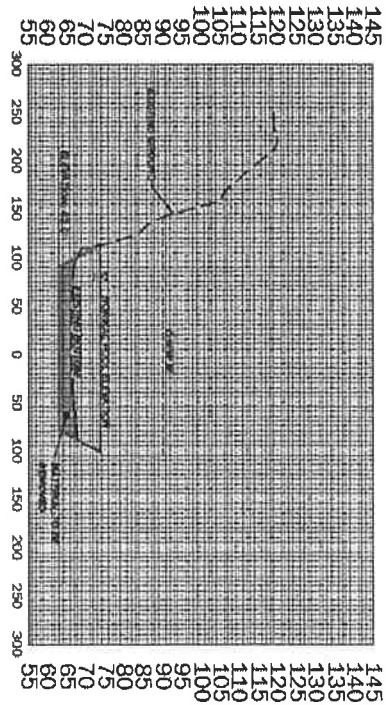
PORT OF EPES FACILITY
SUMTER COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY
PORT EPES
SUMTER COUNTY, ALABAMA

TTL

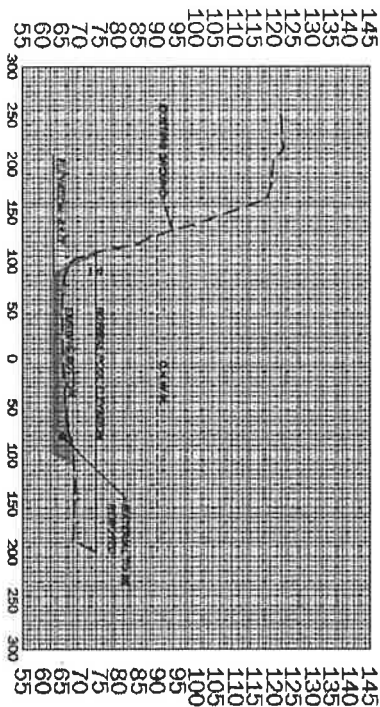
3416 Brookshire Avenue | Tuscaloosa, AL 35401
 205.345.0010 | www.ttlal.com



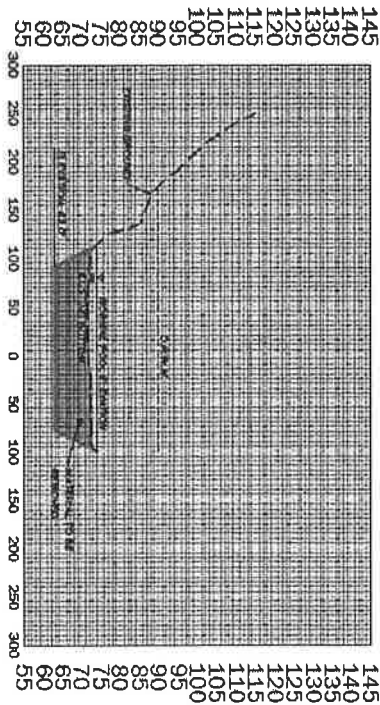
SECTION A-A



SECTION C-C



SECTION B-B



SECTION D-D

PORT DREDGING CROSS SECTIONS

No.	Date	Revised Description
01	06/27/2019	UPDATE EARTH LIMITS

Drawn By: JTS
Date: 06/27/2019
TTL Marine - 60-247 & Street, 220 S. Grand, 3rd Floor, New Orleans, LA 70112

PORT OF EPES FACILITY

SUMTER COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY

PORT EPES
SUMTER COUNTY, ALABAMA

TTL

3356 Granger Road, Suite 100 | Tallahassee, FL 32301
205.345.0818 | www.ttlal.com

PRELIMINARY

FOR INFORMATION ONLY
DO NOT CONSIDER FOR CONSTRUCTION

X SECTIONS



Attachment B, Project Budget

Port of Epes Infrastructure Project Budget Cost Estimate					
Item Number	Quantity	Unit	Description	Unit Cost	Total Cost
Permitting/Survey					
1		Dredging	ADEM Water Quality Permit		\$5,000
2		Dredging	ADCNR State Lands Division - Application for Dredge Permit		\$44,500
3		Dredging	ADCNR State Lands Division-Application for Dredge Permit Initial Application Fee		\$100
4		Dredging	T&E Species Survey		\$25,000
5		Dredging	Consulting - Permitting preparation, support, and coordination; Section 10 USACE Dredge Permit Preparation		\$68,500
			Sub-total		\$143,100
Engineering Fees					
1	8 (Samples)	Dredging	(Dredging) Distribution Analysis w/ hydrometer	\$150	\$1,200
2	8 (Samples)	Dredging	(Dredging) Total Analysis, Reporting, & Data Interpretation	\$700	\$5,600
3		Dredging	(Dredging) Generating Bid Drawings, Specifications, Preparing Bid Documents, etc.	\$25,000	\$25,000
4		Dredging	Pre-Bid Meeting, Administering Bid Process, etc.	\$12,000	\$12,000
5		Dredging	Dredging Disposal Basins Design, Construction Drawings, Specifications, Bid Documents, etc		\$65,000
			Sub-total		\$108,800
Construction					
1	98000 (c.y.)	Dredging	Removal of sediment from Barge Terminal	\$8.75	\$757,000
2	60 (Days)	Dredging	(Excavator) Removal of debris & objects	\$1,500	\$90,000
3		Dredging	Silt Curtain/Silt Fences, BMPs		\$30,000
4		Dredging	Flocculation Media to Control Water Quality		\$50,000
5		Dredging	Dredging sediment disposal basins construction		\$729,000
			Sub-total		\$1,656,000
Other/Miscellaneous					
1	500 (Hours)	Dredging	Dredging Project Oversight	\$90	\$45,000
2	1 (Survey)	Dredging	Soundings of Barge Terminal (Pre-Design)	\$11,000	\$11,000
3	2 (Survey)	Dredging	Soundings of Barge Terminal (Pre-Bid and Post Dredging)	\$11,000	\$22,000
4		Dredging	T & E Species Relocation	\$15,000	\$15,000
5		Dredging	Construction Materials Testing		\$65,000
			Sub-total		\$158,000
			Budget Total		\$2,065,900

Attachment C, Matching Funds



November 18, 2019

Kenneth Boswell
Alabama Department of Economic and Community Affairs
Attention: Energy Division
P. O. Box 5690
Montgomery, AL 36103

Dear Director Boswell,

As the Chairman of the Sumter County Industrial Development Authority (IDA), I verify my Board's commitment to the ADECA Inland Port Infrastructure Program Grant Application. In support of this application and Project, the IDA will contribute \$1,425,900 in cash supplemental or matching funds as indicated in the proposed Source and Amount of Matching Funds section for the Port of Epps Industrial Park Infrastructure Project. These supplemental funds represent contribution of all dredging and dredge basins related construction, surveying, permitting, et al fees applied toward the project.

We believe that the Infrastructure Project will serve to create jobs, assist in industrial development, and improve the quality of life for the people of not only Sumter County, but the entire UWA and Black Belt regions.

Sincerely,

The Honorable Judge Eddie Hardaway
Chairman, Sumter County Industrial Development Authority



United States
Department of
Agriculture

Rural
Development

USDA Service Center
Rural Development Area Office
3831 Palisades Drive, Suite B
Tuscaloosa, AL 35405-3459
(205)553-1733, press 5
FAX: (205)553-5100

October 31, 2019

Mr. Eddie Hardaway
Chairman Sumter County Industrial
Development Board
P. O. Box 1059
Livingston, AL 35470-2099

RE: Industrial Development Board – Sumter County, Sumter County, AL,
USDA Rural Development Community Facility Program - Delta Regional Authority
Grant in the Amount of \$40,000 - Letter of Conditions

Dear Chairman Hardaway:

This letter establishes conditions, which must be understood and agreed to by you before further consideration may be given to the application.

Rural Development administers programs on behalf of the Rural Housing Service (RHS). This financial assistance is being provided by RHS, and Rural Development has the responsibility for administering the assistance.

Any changes in the project cost, source of funds, scope of services or other significant changes in the project or applicant must be reported to and approved by Rural Development by written amendment to this letter. Any changes not approved by Rural Development shall be cause for discontinuing processing of the application.

This letter is not to be considered as grant approval or as representation as to the availability of funds. The docket may be completed on the basis of a Delta Regional Authority grant in the amount of \$40,000.

Please complete and return the attached Form RD 1942-46, "*Letter of Intent to Meet Conditions*," if you desire further consideration to be given to your application.

The grant will be considered approved on the date a signed copy of form RD 1940-1, *Request for Obligation of Funds*, is mailed to you.

If the conditions set forth in this letter are not met within 120 days from the date hereof, Rural Development reserves the right to discontinue the processing of the application.

Rural Development is an Equal Opportunity Lender, Provider, and Employer.
Complaints of discrimination should be sent to:
USDA, Director, Office of Civil Rights
Washington, DC 20250-9410

The planned use for the grant funds and applicant contribution are as follows:

Environmental/Dredging Assessment(s)	<u>\$ 40,000</u>
Total	\$ 40,000

The following conditions must be met prior to this grant being closed:

1. Attached is a copy of Form RD 3570-3, Agreement for Administrative Requirements for Community Facilities Grants for your review. You will be required to execute the agreement at the time of grant closing.
2. You will furnish this office a certified copy of the minutes of the City Council meeting approving anything in connection with this grant.
3. You are responsible for establishing and maintaining an adequate bookkeeping and reporting system. The Rural Development Director or his representative, prior to the grant being closed, must approve your record keeping system. It will be your responsibility to prepare and submit audit and management reports in accordance with Section 1942.128 of RD Instruction 1942-C. This office will furnish Section 1942.128 and report forms.
4. In no case will the boundaries for your proposed service area be chosen to exclude any user or feasible area because of race, color, creed, or national origin.
5. You must furnish this office a Certified List of Officials of the Industrial Development Board showing name, position, address, and expiration date of term of office.
6. You must adopt Form RD 442-7, *Operating Budget*, or similar form, which will be signed by you as soon as possible and in no case later than the date of grant closing.
7. Under section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), no handicapped individual in the United States shall, solely by reason of their handicap, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Rural Development financial assistance.
8. Civil Rights Act of 1964. All borrowers are subject to, and facilities must be operating in accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.) and subpart E of part 1901 of this title, particularly as it relates to conducting and reporting of compliance reviews. Instruments of conveyance for loans and/ or grants subject to the Act must contain the covenant required by paragraph 1901.202(e) of this title.

9. The Americans with Disabilities Act (ADA) of 1990. This Act (42 U.S.C. 12101 et seq.) prohibits discrimination on the basis of disability in employment, State and local government services, public transportation, public accommodations, facilities and telecommunications. Title II of the Act applies to facilities operated by State and local public entities which provides services, programs and activities. Title III of the Act applies to facilities owned, leased or operated by private entities which accommodate the public.
10. Age Discrimination Act of 1975. This Act (42 U.S.C. 6101 et seq.) provides that no person in the United States shall on the basis of age, be excluded from participation, be denied the benefits of or be subjected to discrimination under any program or activity receiving Federal financial assistance.
11. Architectural barriers. All facilities intended for or accessible to the public or in which physically handicapped persons may be employed must be developed in compliance with the Architectural Barriers Act of 1968 (42 U.S.C. 4151 et seq.) as implemented by 41 CFR 101-19.6, section 504 of the Rehabilitation Act of 1973 (42 U.S.C. 1471 et seq.) as implemented by 7 CFR parts 15 and 15b, and Titles II and III of the Americans with Disabilities Act of 1990.
12. An Environmental Checklist for Categorical Exclusion has been completed for this project.

Debt Collection Improvement Act (DCIA) of 1996

The Debt Collection Improvement Act (DCIA) of 1996 requires that all federal payments after January 1, 1999, must be made by Electronic Funds Transfer / Automated Clearing House (EFT/ACH). Borrowers receiving payments by EFT will have funds directly deposited to a specific account at a financial institution with funds being available to the recipient on the date of payment. The borrower should complete Form SF-3881, *Electronic Funds Transfer Payment Enrollment Form*, for each account where funds will be electronically received. The completed forms must be received by Rural Development at least thirty (30) days prior to the first advance of funds.

Final acceptance of the police vehicles will be by you and Rural Development.

When the requirements of this letter can be met, we will request closing instructions from our Regional Attorney. The closing instructions must be complied with before the grant can be closed.

We are attaching two extra copies of this letter for your use. One copy should be delivered to your Attorney.

If you have any questions concerning any of the above information, please contact Robert Macon of this office.

Sincerely,

Teresa Long

Teresa Long
Area Director

Attachments

cc: State Office, Community Programs

tl

Attachment D, State of Alabama Disclosure Statement



State of Alabama Disclosure Statement

Required by Article 3B of Title 41, Code of Alabama 1975

ENTITY COMPLETING FORM

Industrial Development Authority of Sumter County

ADDRESS

P.O. Box 1059/105 Hospital Drive

CITY, STATE, ZIP

Livingston, AL 35470

TELEPHONE NUMBER

205-652-9303

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

Alabama Department of Economic and Community Affairs, Energy Division

ADDRESS

401 Adams Avenue/ P.O. Box 5690

CITY, STATE, ZIP

Montgomery, AL 36103

TELEPHONE NUMBER

334-242-5290

This form is provided with:

☐

Contract

☐

Proposal

☐

Request for Proposal

☐

Invitation to Bid

☒

Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

☐

Yes

☒

No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of goods or services previously provided, and the amount received for the provision of such goods or services.

STATE AGENCY/DEPARTMENT	TYPE OF GOODS/SERVICES	AMOUNT RECEIVED
-------------------------	------------------------	-----------------

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

☒

Yes

☐

No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

STATE AGENCY/DEPARTMENT	DATE GRANT AWARDED	AMOUNT OF GRANT
USDA, AL, Rural Development	Rural Develop Community Facility Program Grant	\$40,000

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF PUBLIC OFFICIAL/EMPLOYEE	ADDRESS	STATE DEPARTMENT/AGENCY
----------------------------------	---------	-------------------------

N/A

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF FAMILY MEMBER	ADDRESS	NAME OF PUBLIC OFFICIAL/ PUBLIC EMPLOYEE	STATE DEPARTMENT/ AGENCY WHERE EMPLOYED
-----------------------	---------	---	--

N/A

If you identified individuals in Items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

N/A

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

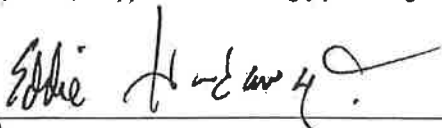

N/A

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

NAME OF PAID CONSULTANT/LOBBYIST	ADDRESS
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N/A

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

Signature: 	Date: 11-20-2019
Notary's Signature: 	Date: 11/20/2019
	Date Notary Expires: 01/24/2020

Article 3B of Title 41, Code of Alabama 1975 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.